

*This brochure supplement provides information about Francisco Jose Anguita Veliz that supplements the Amauta LLC brochure. You should have received a copy of that brochure. Please contact Francisco Jose Anguita Veliz if you did not receive Amauta LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Francisco Jose Anguita Veliz is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Amauta LLC**

**Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Francisco Jose Anguita Veliz**

Personal CRD Number: 5347430

Investment Adviser Representative

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Alexandria, VA, 22314  
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UPDATED: 01/11/2018

## Item 2: Educational Background and Business Experience

**Name:** Francisco Jose Anguita Veliz      **Born:** 1966

### Education:

Business Administration - Business Management, University of the District of Columbia - 2006

### Business Background:

10/2015 - Present	Investment Adviser Representative Amauta LLC
10/2015 - 06/2017	Registered Representative LPL Financial
06/2009 - 10/2015	Financial Advisor Morgan Stanley
06/2007 - 06/2009	Client Service Citigroup Global Markets Inc.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Francisco Jose Anguita Veliz is not engaged in any investment-related business or occupation (other than this advisory firm).

## Item 5: Additional Compensation

In connection with the transition of Francisco Jose Anguita Veliz's clients to the LPL Financial custodial platform and Francisco Jose Anguita Veliz's association as a registered representative of LPL Financial, Francisco Jose Anguita Veliz received or will receive financial transition support from LPL Financial in the form of a transition credit.

## Item 6: Supervision

As the Chief Compliance Officer of Amauta LLC, Francisco Jose Anguita Veliz supervises all activities of the firm. Francisco Jose Anguita Veliz adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Francisco Jose Anguita Veliz's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Francisco Jose Anguita Veliz has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Mr. Veliz has NOT been the subject of a bankruptcy petition in the past ten years.